

The background features abstract, overlapping geometric shapes in various shades of blue, ranging from light sky blue to deep navy blue. These shapes are primarily located on the left and right sides of the slide, framing the central text.

# Research Administration Forum Systems, Data, Information, Analytics

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# Research Administration Forum

## Systems, Data, Information, Analytics

- ▶ -In our world, this is called “Electronic Research Administration”, or “ERA”
- ▶ -Peer OSP offices have teams dedicated to ERA; communities and organizations are set up at the national level to work on ERA issues in direct collaboration with federal agencies
- ▶ -For a variety of reasons, we need to be in the game
  - ▶ Efficiency
  - ▶ Compliance
  - ▶ Competitiveness
  - ▶ Business intelligence/strategic decisionmaking
  - ▶ Better feedback loops with daily operations as well as periodic internal and external audits
  - ▶ Better understanding of and anticipating our customers
- ▶ -OSP cannot do it alone; we have key institutional partners in this (e.g., Organizational Excellence, Managerial Reporting, Internal Audit)

# Research Administration Forum

## Interesting Reads

- ▶ -UVA is currently ranked #3 - I miss #1
- ▶ Our largest sponsor, the federal government is ahead of us...
  - <http://www.healthdata.gov>
  - <https://www.acus.gov/best-practices/success-story/national-science-foundation-nsfdata-analytics-in-grant-making/>
  - <https://www.acus.gov/best-practices/about/>
  - <http://www.dhs.gov/fisma>

# Electronic Research Administration ResearchUvA - a great start

- ▶ -Important recent addition to the Electronic Research Administration Environment at UvA
- ▶ -What is it?
  - ▶ A data portal, which will be phased into production
  - ▶ “Paperless” document repository
  - ▶ Accessible, transparent platform for information
- ▶ -What faculty have said:
  - ▶ “But I don’t have any deficits...oops!”
  - ▶ “I love it!”
  - ▶ “I’m hooked.”
  - ▶ “I would like to name my firstborn after you.”

# Electronic Research Administration ResearchUvA - next phase

- ▶ Want to show you a very preliminary view of the next phase.....remember this is TEST...

# Post Award Administration

## We need your help!!

▶ Issues we are encountering on a regular basis:

1. PO's - really need them to be managed
2. Deficits --- really need them cleared timely
3. FFR's memos --- need to start the review and cleanup with the 1<sup>st</sup> memo -- need the checklist to be complete and action items done before you sign and send back and we need them back timely (before report due)-- Received a verbal finding from APA on late reports - cannot wait anymore
4. Subcontractor invoices --- PI should approve; payments tracked so don't pay twice; finals in house by the 60 day due date

# Post Award Administration

## We need your help!!

- ▶ Issues we are encountering on a regular basis (continued ☹):
- 5. Cost Share - must be tracked and monitored to insure our obligations are met
- 6. Recons - when done thoroughly and timely, should alleviate problems - charges should be allowable and accurate
  - ▶ Cost Transfers and LD adjustments - should not really be occurring in last 90 days -- why are these necessary? Why are they late? Volume is just too high
- 7. Audits - now sponsors are calling them “reviews” they are audits folks and need to be coordinated by OSP, contact Vonda Durrer

# Post Award Administration

## We need your help!!

▶ Help us help you

- ▶ What obstacles are you encountering?
- ▶ From your perspective what is causing the delays?
- ▶ How can we improve our communications?
- ▶ Finance Outreach and Compliance (FOC) - need training contact FOC
  - ▶ <http://foc.virginia.edu/>



# Post Award Administration

## We need your help!!

### Listserve - reminder

- Ospnoa - for all sponsor documents you receive that need to come to OSP or for items you need OSP to process (SP23's)
- Osp-postaward - to communicate with my team, ask questions about invoicing, reporting, award setup etc.

# Post Award Administration

## We need your help!!

### ▶ Deficits

- ▶ Will be moving the monitoring of these to the school Dean's offices to manage. What this means:
  - OSP will send last set of deficit letters in January to show December 2015 deficits
  - School's Dean's offices will be monitoring going forward
  - OSP will move to any deficit holding up a financial report to the departmental FA award
  - Deficits will cause invoices NOT to generate - if this causes non-payment issues, department will be responsible

# Post Award Administration

## We need your help!!

### ▶ GB/GC Conversions - is complete 😊

- ▶ Remember, this takes effect 10/1/2015. If you receive a new award ON or AFTER this date, it will be put into a GB award prefix account not a GC account. Therefore, that new year's start date on the NOA that prompted the GB conversion, is the start date you should use to schedule labor or AP/PO items for the GB account. A new OSP NOA will be distributed once the sponsor NOA is received and the account is setup in the integrated system (Oracle). Also, remember that we cannot file a FFR for the mini-competitive segment, if you are over budget based on what HHS has awarded you. This is the only case you will need to move expenditures. See the example below:
- ▶ 5 year R01 award is given to PI in the amount of \$500,000 (\$100,000 each year) and the award dates are 11/01/2013 thru 12/01/2018
- ▶ Year 1 is 11/01/13 thru 12/01/14 ----- GC1xxxx/12345
- ▶ Year 2 is 11/01/14 thru 12/01/15 ----- GC1xxxx/12345 -- this will be transitioned from the GC to the GB --- we will have to file a one-time FFR for this "mini-competitive segment" - expenditures cannot exceed \$200,000, if they do, expenditures will need to be moved from Year 2 to Year 3 account. If they do not, and there is excess funding, OSP personnel will move the "carryover" funding that has been unspent to Year 3 account automatically.
- ▶ Year 3 is 11/01/15 thru 12/01/16 ----- GB1xxxx/12345 -- note this year is a GB account
- ▶ Year 4 is 11/01/16 thru 12/01/17 ----- GB1xxxx/12345
- ▶ Year 5 is 11/01/17 thru 12/01/18 ----- GB1xxxx/12345