

OSP Post-Award

MARCH 13TH, 2018

OSP Post Award

Mission: To support Faculty and Administrators by overseeing financial activities of sponsored research awards, and ensure responsible stewardship of research funds by adhering to the University, the Commonwealth of Virginia or the sponsoring agency requirements.

What we did and the benefits received:

- Restructured to portfolio model thus expanding partnership across all sectors
 - ❖ Reduced confusions about responsibilities
 - ❖ Gained more understanding of research areas
 - ❖ Training needs to increase knowledge based on sponsored programs
- Clarified roles and responsibilities within Post Award to ensure accountability, and clear authority in areas such as Financial Compliance, Cash Management and Audit Coordination
- Extended collaborations with OSP peers, Pre-Award and Contracts to streamline processes and policies, and provide training for the staff across OSP

Staffing Updates in OSP Post Award

1. Award Management (Post Award Financial Team)
2. Cash Management, including Audit Coordination (Cash Management and Audit Coordination Team)
3. Post Award Financial Compliance

Post Award Financial Team

Gareth Evans, Associate Director of OSP Post Award

School of Medicine

- Tammy Sutton (Senior)
- Taylor Hoelscher
- Andrew McGehee
- New hire starting 4/16/18

Curry, Arts & Sciences

- Alpana Gautam (Senior)
- Joanne Reid
- *Kim Becker Damron*

SEAS

- Danial Weinstein (Senior)

Provost & Others

- Darcy Rugar

Cash Management

Cash Management & Audit Coordination

- Catina Seitz (Assistant Director)
- Jim Bowen (Senior)
- Angie Michael
- Sandra Williams
- Open

Post Award Financial Management

Post Award Financial Management

- Kelly Mays, Assistant Director
- Jacob Mair, Senior Financial Analyst

Financial Management Team is responsible for overseeing compliance processes

- Effort Certification
- Cost Transfers
- Cost Sharing

Post Award Outreach Efforts

Award management team:

- Office hours in each school
- CORA participation
- Encouraging 1-1 meetings with responsible accountants

Cash management/Audit coordination team:

- osp-cash@virginia.edu

Financial compliance team:

- Cost sharing companion account setup
- ERC training

Important updates

Delinquent Closeouts

CY12 & prior: assigned to Jim Bowen

- Dec 2016 (436 awards), July 2017 (218 awards), November 2017 (149 awards), March 2018 (129 awards)
- 70% reduction in 14 months

CY13 to 9/30/2016: assigned to Post Award Accountants

- Dec 2016 (1304 awards), July 2017 (931 awards), November 2017 (292 awards), March 2018 (239 awards)
- 82% reduction in 14 months

Important updates

A133 update

- <https://www.virginia.edu/sponsoredprograms/pre-award/importantinfo.html>
- Performed by Virginia State Auditors
- R&D Cluster-FY17 was the audit year
- Audit starts with Internal Control Questionnaire
 - 12 sections, each section having 5 subsections (Control Environment, Risk Assessment, Control Activities, Information and Communication and monitoring) and each subsection has 1 – 8 questions each
- Type of documents pulled for review (Effort Reports, Cost Share, Subrecipient Monitoring, Expenditure Documentation)
- Prepare SEFA (Schedule of Expenditures of Federal Awards)
 - All expenditures of Federal funds must be reported in the annual SEFA whether funds are received directly from Federal agency or indirectly from a pass-through entity
 - Reconcile with other State agencies on all received and disbursed federal funds
 - SEFA report includes: Federal grantor agency/organization; Federal program name; CFDA (Catalog of Federal Domestic Assistance) number for each award; current year expenditures
- No findings or recommendations in the R&D cluster

Important Updates

Workday and LD/Effort certification what we know

- Workday Go-Live July 1, 2018 (Payroll and HR)
- Continue to use Oracle Labor Distribution (LD) for distributing labor costs
- Continue to use Effort Reporting Certification System