

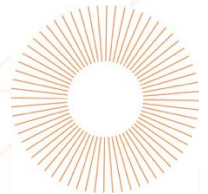


ELECTRONIC RESEARCH ADMINISTRATION TEAM UPDATES

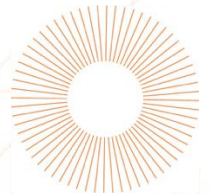
Andrew Sallans, Asst. Dir. of Electronic Research Administration
Vonda Durrer, Sr. Dir. of Electronic Research Administration



Research Administrators' Forum
March 13, 2018

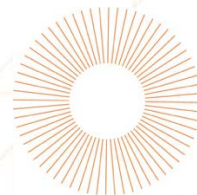


Customer Data



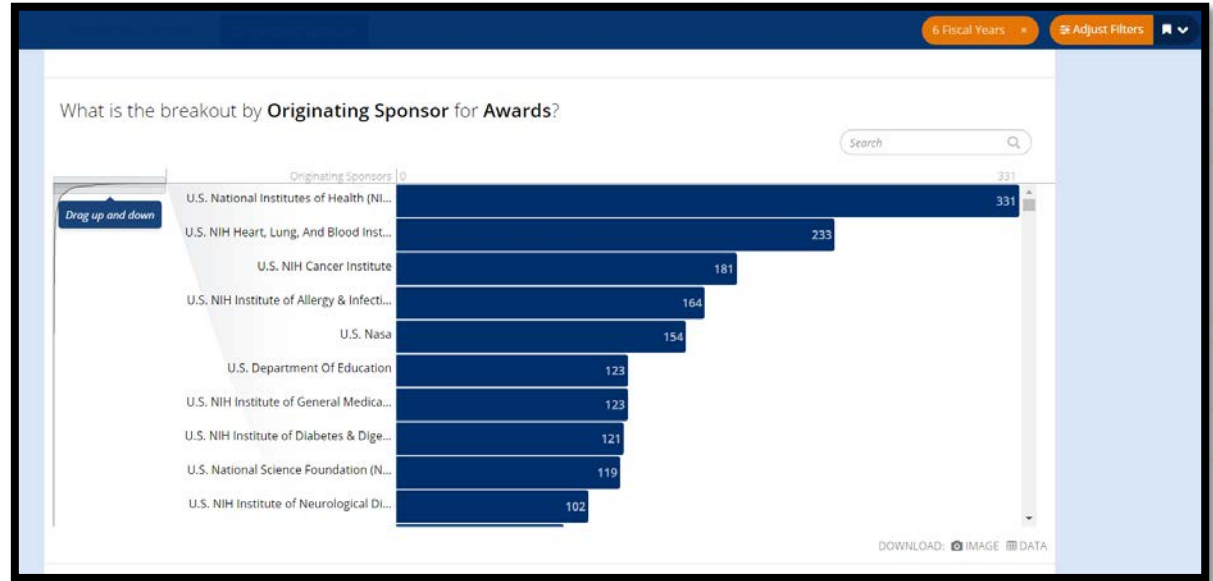
What are customers?

- Sponsors we work with on funded agreements.
- Organizations or individuals we work with on non-funded agreements (such as NDAs, DUAs, MTAs).



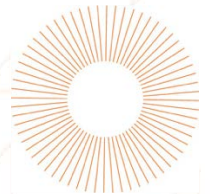
Why is this important?

- Knowing who we are doing business with--Segmentation of industries for research growth strategies.
- All of OSP reporting is based off of this:
 - Juice
 - BOV
 - HERD
- Verifying in Visual Compliance.



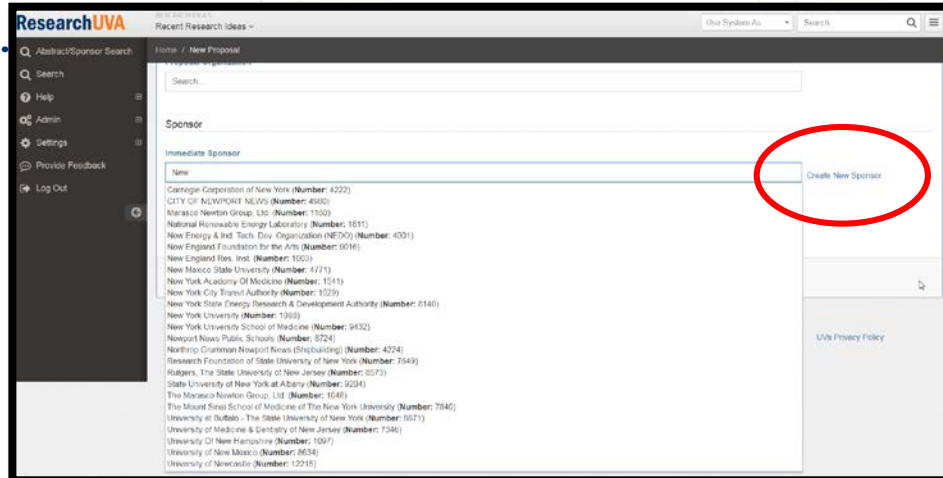
Common questions:

1. A sponsor isn't in the ePRF list, should I add it?
2. Why didn't you add the sponsor I requested?
3. How long does it take to add a sponsor?
4. Are DUNS numbers required?

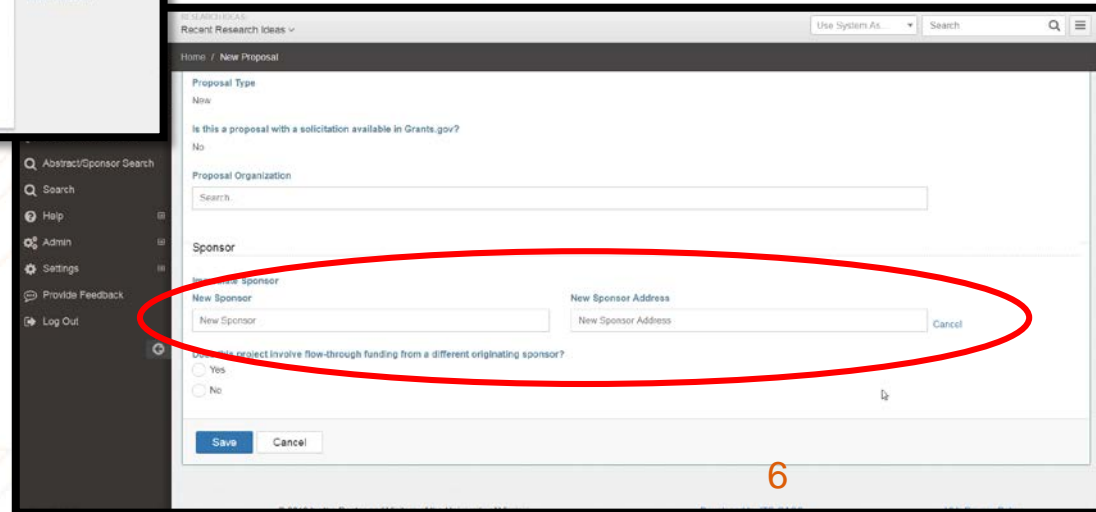


1. A sponsor isn't in the ePRF list, should I add it?

YES!

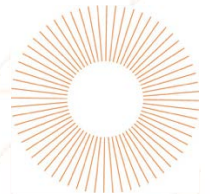


The Electronic Research Administration team will research the new sponsor, verify information, add the sponsor to Oracle, and then notify the appropriate OSP Grant Administrator about the outcome.



2. Why didn't you add the sponsor I requested?

- Sometimes sponsors may use a tradestyle or “doing business as” name in their communications, rather than a legal entity name.
- Some may also brand opportunities under program or department names, which are actually parts of broader legal entities.
- In our research process, we identify a legal entity name with evidence from official business registration and identification systems, and select this name as the one to setup.



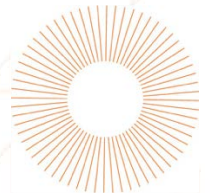
3. How long does it take to add a sponsor?

- We typically setup a sponsor within 1 day of the request.
- Sometimes we don't have sufficient information to research the sponsor and verify the entity. We then must follow-up with the sponsor directly to get more information to complete the review, which means time may vary greatly.



4. Are DUNS numbers required?

- DUNS numbers are 9-digit unique identifiers managed by Dun & Bradstreet.
- We are using DUNS numbers to confirm that we have the correct entity and classification information.
- When an entity does not have DUNS number, we can use other official records to verify entity information.



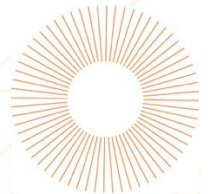
What's happened in the past?

- Massive cleanup process before the launch of Juice.
 - Cleaning duplicates.
 - Correcting context values to be “OSP”.
 - Identifying official names.
 - Miscellaneous other name corrections.
 - Adding classifications, agencies, and sub agencies.
- Ad hoc cleaning occurs on on-going basis.

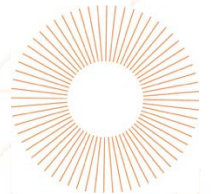


Future improvements

- Further improve business processes and definitions for customers.
- Benchmarking against our peers.
- Further review and refinement of our customer table.



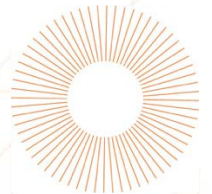
#HaveyouheardaboutHERD



HERD Survey

Higher Education Research Development

The Higher Education Research and Development (HERD) Survey, is the primary source of information on R&D expenditures at U.S. colleges and universities. The survey collects information on R&D expenditures by field of research and source of funds and also gathers information on types of research and expenses and headcounts of R&D personnel. The survey is an annual census of institutions that expended at least \$150,000 in separately budgeted R&D in the fiscal year.



UVA Trend for FY15- FY17

Question 1. R&D Expenditures by Source of Funds, FY 2015-2017

Source of Funds	R&D Expenditures (Dollars in thousands)			
	Fiscal Year			% Change 2016-17
	2017	2016	2015	
a. U.S. federal government	228,910	210,980	203,401	8.5%
b. State and local government	5,378	4,366	3,768	23.2%
c. Business	22,001	21,470	17,404	2.5%
d. Nonprofit organizations	31,250	28,978	22,951	7.8%
e. Institutional funds				
1. Institutionally financed research	79,398	53,643	85,266	48.0%
2. Cost sharing	14,111	13,151	12,586	7.3%
3. Unrecovered indirect costs	41,536	28,309	24,720	46.7%
4. Total institutional funds	135,045	95,103	122,572	↑ 42.0%
f. All other sources	47,098	36,561	3,122	28.8%
g. Total	469,682	397,458	373,218	18.2%

Notable Reasons for Increases

- Institutionally financed research increase of 48% due to a true increase in non-sponsored research expenditures, inclusion of research GRA support, and an increase of SIF funding expenditures.
- Unrecovered indirect costs increase of 46.7% due to the inclusion of unrecovered indirect costs on gifts.
- Reclassification of foreign customers will impact survey details.

Impact: The gains made this year and last year suggest a continued positive indicator of the impact of UVA's Cornerstone Plan and significant investments in research and research infrastructure over the last several years. The 2016 HERD Survey results show a UVA progression from 62nd to 56th place in overall research and development. We are eagerly anticipating this year's ranking results in the Fall.



Thank you!

Questions?

