

Research Administrators' Forum

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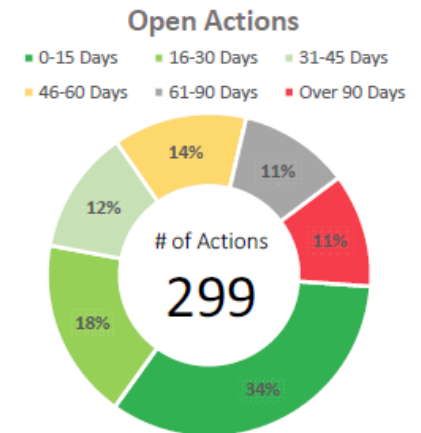
DIRECTOR OF PRE AWARD

NOVEMBER 28, 2017

General Pre-Award Updates

Pre-Award Queue

- ❖ Reporting of all open actions in OSP Pre-Award
- ❖ Shared with research-active school deans' offices on routine basis
- ❖ Over 800 open actions, July 2017
- ❖ Less than 300 open actions, November 2017
 - ❖ 63% decrease in 4 months
 - ❖ Confirmation that we are making investments in the right areas
- ❖ Multiple reporting cycles where there were zero unilateral awards ready for setup by OSP



What's Next?

- ❖ Award Setup Changes

- ❖ With stabilization of overall queue comes ability to begin establishing Service Level Agreements (SLAs) associated with key business functions

 - ❖ Initial Focus (January/February 2018)

 - ❖ Proposal Review & Submission

 - ❖ Award Setup

- ❖ Staffing

- ❖ Outgoing Subcontracts changes/updates

- ❖ Upcoming Brown Bags (January/February 2018)

 - ❖ Grants.gov Workspace

 - ❖ Pre-Award Training Series

Award Setup

SPONSOR AWARD &

RESEARCHUVA-ORACLE INTERFACE

Award Setup: What You (May Have) Heard

- ❖ Arguably (!), most time-sensitive business process in OSP
- ❖ Duplicative and complex processes in Oracle and ResearchUVA
- ❖ Need to streamline setup and management in multiple areas
 - ❖ Reduce number of projects necessary
 - ❖ Revisit necessary/unnecessary data elements
 - ❖ Transaction controls
 - ❖ Templates
- ❖ Interface between ResearchUVA and Oracle
 - ❖ Focus in upcoming months

Award Setup – What You Haven't Heard

- ❖ Work beyond the interface preparation must first occur
- ❖ Challenges related to information captured generally – and overall structure
- ❖ Overwriting proposal (and award!) data
 - ❖ “Mark as awarded” on proposal record
- ❖ Missing basic elements in terms of information related to the sponsor award
- ❖ Only tracks current authority (though it wasn't designed to do this!)
- ❖ Inability to connect multiple awards to one proposal
- ❖ Challenges associated with fiscal year “counting”

Sponsor Award

- ❖ Structure that captures award information *entirely distinct* from proposal information
 - ❖ Leverages ePRF data and displays it to the individual handling setup
 - ❖ Does not allow or require editing of proposal-stage data
- ❖ In terms of type, tracks
 - ❖ Unilateral Award vs. Bilateral Award
 - ❖ New Awards vs. Award Modifications
 - ❖ Distinction between funded and non-funded modifications to awards
- ❖ In terms of funding, tracks
 - ❖ Anticipated award
 - ❖ Current authority
 - ❖ Award increment detail(s)
- ❖ Action-based statuses (as opposed to team-based statuses)

Sponsor Award

- ❖ Enables tracking of various elements that are critical to the award, e.g.
 - ❖ Distinction between originating and immediate sponsor award number
 - ❖ Federal Award Identification Number (FAIN)
- ❖ Smart forms that build off data already in the system, reducing required data entry
- ❖ Robust key personnel effort tracking
- ❖ Fiscal year will be a function of a date, connected to an increment of authority
 - ❖ Unilateral vs. bilateral awards

Sponsor Award

- ❖ Managing and tracking modifications
 - ❖ Time & Money
 - ❖ Money (no time)
 - ❖ Time (no money)
 - ❖ Key Personnel Changes

- ❖ Only allow entry/edit of items relevant to specific transaction

ResearchUVA-Oracle Interface

- ❖ Critical to have pre-award enterprise system interface with enterprise financial management system – *in both directions*
- ❖ Ability to leverage Sponsor Award work as we move forward into ResearchUVA-Oracle interface
- ❖ Collaborating with Enterprise Applications (EA) and external consultant (Morgan Franklin) to develop an award setup interface

ResearchUVA-Oracle Interface

- ❖ Goal is to have majority of data entry for award setup completed in ResearchUVA
- ❖ Information captured in ResearchUVA will then be “pushed” to Oracle to establish one or more PTAOs
- ❖ Removing duplicative and unnecessary data entry
 - ❖ If one enters something once, why must s/he enter the same information 25 more times?
 - ❖ If a specific field is never used in Oracle, why not consider hiding it?
- ❖ Automating where possible and removing certain unnecessary human “decision” points
- ❖ Reduce time to award setup
- ❖ Balancing what systems can do for you – and what they can’t (or, shouldn’t!)

Questions?
